

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 09792909-5790  
First Named Inventor: Tomoyuki Hirano et al.  
Title: METHOD FOR FORMING CAPACITOR  
Express Mail Label No. EV 328246497 US  
Date of Deposit: February 6, 2004

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ This Form includes the Fee Transmittal (See Box 19)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification [Total Pages 28]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 7]
5. ☒ Declaration and Power of Attorney [Total Pages 2]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate

8. ☐ Nucleotide and/or Amino Acid Sequence Submission
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R in duplicate; or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☒ Certified copy of Japanese Priority Document:  
Document No. P11-102048, filed on April 9, 1999.
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(I).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Express Mail Certificate

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application no.: 09/545,549  
Prior application information: Examiner Name: George A. Goudreau Group Art Unit: 1763

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. FEE CALCULATIONS:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$770.00
TOTAL CLAIMS		4	0	\$18.00	\$0.00
INDEPENDENT CLAIMS		1	0	\$86.00	\$0.00
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO		\$290.00	\$0.00
				SUBTOTAL	\$770.00

- a. ☒ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.
- b. ☒ The enclosed credit card payment form to charge the amount of \$770.00 covers the total claim fee and other applicable fees.

20. ☒ CUSTOMER NO. 26263

Dated: February 6, 2004

SIGNATURE:

Marina N. Saito, (Reg. No. 42,121)

The PTO did not receive the following  
listed item(s) Full drawing sheet  
(total 6 sheets only)

**Express Mail Certificate**

Express Mail Mailing Label Number: EV 328246497 US  
Date of Deposit: February 6, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service by the "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Patent Application of Tomoyuki Hirano et al., et al. entitled "Method for Forming Capacitor."

1. Return Receipt Postcard;
2. Credit Card Payment Form;
3. Utility Patent Application Transmittal;
4. Preliminary Amendment;
5. Express Mail Certificate;
6. Copy of Original Application (28 sheets);
6. Copy of Formal Drawings (7 sheets);
7. Copy of Certification of Japanese Patent Application as Priority Document;
8. Copy of Declaration and Power of Attorney; and
9. Copy of Notice of Recordation of Assignment.



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Antonietta Musto